Towards an Interchange Standard for Editable Documents
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August 31, 1982 4:19 PM
File: Interscript-app.bravo

## Appendix A

## Glossary

Italics indicate words defined in this glossary.

## abbreviation <br> attribute <br> base language

base semantics
binding
binding mode
Boolean
const binding
contents
definition
document dominant structure editor-specific name

An invocation used to shorten a script, rather than to indicate structure
A component of an environment, identified by its name, which is bound to a value
The part of the Interscript language that is independent of the semantics of particular properties and attributes

The semantic rules that govern how scripts in the base language are elaborated to determine their contents, environments, and labels

The operation of associating a value with a name to add an attribute to an environment, also the resulting association

A value may be bound to an identifier as local, const or global
An enumerated primitive type ( $\mathrm{F}, \mathrm{T}$ ) used to control selection and as primitive values
A binding of an attribute that prevents its being rebound in any contained scope
The vector of values denoted by a node of a script
Another name for a const binding
The internalization of a script in a representation suitable for some editor
The tree structure of a document corresponding to the node structure of its script
A non-standard name used by a specific editor in scripts it generates; an editor may use editor-specific terms without interfering with the interchangeability of a script if it provides definitions of the standard names in terms of its editor-specific names
elaborate (verb) To develop the semantics of a script or a node of a script according to the Interscript semantic rules. This is a left-to-right, depth-first processing of the script
A particular representation of scripts
A value consisting of a set of attributes. An environment may be either free-standing or nodal. A free-standing environment is a structured value much like a record, with the components being the attributes of the environment. A nodal environment is associated with a node of a script and represents the attributes bound in that node.
A syntactic form denoting a value
A standard environment relative to which an entire script is elaborated
The process of converting from a document to a script; also the result of that process
The extent to which an externalization or internalization preserves contents, form, and structure
A component of a hexSequence formed from a pair of letters in the set $\{A, B, \ldots, O, P\}$, and representing an integer in the range [0..256)
A sequence of hexint pairs enclosed between "\#" pairs and used to encode characters in string literals, e.g., \#ENCODE\#
A name containing at least one period, whose prefix unambiguously denotes the naming authority that assigned its meaning
A sequence of letters used to identify an attribute
A mathematical integer in a limited range; one of the primitive types
The standard encoding for scripts
The process of converting from a script to a document; also the result of that process

| Interscript | The current name of this basis for an editable document standard |
| :---: | :---: |
| invocation | The appearance of a name in an expression, except as the attribute of a binding |
| label | A tag, or a source, a target, or a link introduction placed in a node |
| link | The cross product of a source and a target; in general, a link is a set of (source, target) pairs; in the special case when there is exactly one source and one target, a link behaves like a directed arc between a pair of nodes |
| link introduction | The appearance of LINKS id in a node, where id is the main identifier of a link |
| literal | A representation of a value of a primitive type in a script |
| local binding | A binding of a value to a name, causing the current environment to be updated with the new attribute; any outer binding's scope will resume at the end of the innermost containing node |
| name | A sequence of identifiers internally separated by periods; e.g., a.b.c |
| nested environment | The initial environment of a node contained in another node |
| NIL | A name for the empty value; it does not lengthen a vector or node in which it appears |
| node | Everything between a matched pair of $\}$ s in a script, this generally represents a branch point in a document's dominant structure |
| NULL | Identifies the empty environment, the value it associates with any identifier is NIL |
| OUTER | A standard attribute of every environment: |
|  | For a free-standing environment (i.e., a record-like, structured value), OUTER=NULL |
|  | For a nodal environment, OUTER's value is the environment of the current node's parent just prior to the start of the current node. |
|  | For the root node of a document, OUTER $=X$. |
|  | For $X$, OUTER=NULL |
| global binding | A kind of binding (indicated by ":=") that modifies the environment of the root node of a document only, and hence may endure beyond the end of the current node and may be seen by nodes to the right of the current node, even those not hierarchically descended from the current node. |
| primitive type | Boolean, Integer, Real, String, or Universal |
| primitive value | A literal or a node, vector, or environment containing only primitive values |
| private encoding | One of a number of non-standard encodings of a script |
| property | Each tag on a node labels it with a property; the properties of a node determine how it may be viewed and edited |
| quoted expression | A value which is an expression bracketted by single quotes (""); the expression is evaluated in each environment in which the identifier to which it is bound is invoked |
| real | A floating point number |
| scope | The region of the script in which invocations of the attribute named in a binding yield its value; the scope starts textually at the end of the binding, and generally terminates at the end of the innermost containing node |
| script | An Interscript program; the interchangeable result of externalizing a document |
| selection | A conditional form in a script that denotes one of two expressions, depending on the value of a Boolean expression in the current environment |
| source | The set of nodes with REF link, which thereby refer to the set of target links. |
| string | A literal which is a vector of characters bracketed by "<>", e.g., <This is a string!> |
| style | A quoted expression to be invoked in a node to modify the node's environment, labels, or contents |
| Sub | A standard component of each environment, which is implicitly invoked to initialize nested environments |


| SUBSCRIPT | A function that can be used to extract a value from a vector, <br> e.g. SUBSCRIPT[(a b <str>), 3] is the value <str> <br> A universal name labelling a node using the syntax universal\$; the properties of a <br> node correspond to the set of tags labelling it |
| :--- | :--- |
| tag | The set of nodes labelled with link: |
| target | A characteristic of scripts that allows an editor to identify the nodes of a script <br> that it understands and thereby enables it to operate on those nodes without <br> disturbing the ones that it doesn't understand |
| transparency | A set of definitions relating various typographical and scientific units to the <br> Interscript standard units, meters; e.g., inch=2.54E2*meter, pt=.013836*inch |
| Units | An identifier formed entirely of uppercase letters and digits |
| universal | A primitive value, node, vector, environment, universal, or quoted expression |
| value | An ordered sequence of values that may be subscripted |
| vector | The standard outer environment for an entire script; the value of an unbound <br> identifier in X is the universal consisting of the same letters in upper case |
| $\mathbf{x}$ |  |

## APPENDIX B

## Arbitrary Choices

"One of the primary purposes of a standard is to be definitive about otherwise arbitrary choices."

There are many places in this proposal where we have made an arbitrary choice for definiteness. It will be important that the ultimate standard make some choice on these points; it matters little whether it is the same as ours. To forestall profitless debate on these points, we have tried to list some of the choices that we believe can be easily changed at a later date:

## Encoding choices:

The choice of representations for literals (we generally followed Interpress here).
The selection of particular characters for particular kinds of bracketting, and for particular operators.

The choice of infix and functional notation for the interchange encoding (as opposed, e.g., to Polish postfix).

The choice of particular identifiers for basic concepts.

## Linguistic choices:

The choice of a particular set of basic operators for the language.
The particular set of primitive data types (we followed Interpressits set seems about as small as will suffice).

The choice of particular syntactic sugars for common linguistic forms.

## Appendix C

## Formal Semantics

## C.1. Grammar

Our notation is basically BNF with terminals quoted and augmented by the following conventions:
a sequence enclosed in [ ] brackets may occur zero or one times;
a construct followed by * may occur zero or more times;
parentheses () are used purely for grouping.

```
script ::= header node trailer
header ::= "Interscript/Interchange/1.0 "
trailer ::= "EndScript"
item ::= content | binding | label
content ::= term | node
term ::= primary | primary op term
op ::= "+"|""|"*"|"/"
primary ::= literal | invocation | indirection | application | selection | vector
literal }\quad::=\quad\mathrm{ Boolean | integer | real | string | universal
invocation ::= name
name ::= id( (." id )*
indirection ::= name "%"
application ::= ( name | universal) "[" item* "]"
universal ::= ucID
selection ::= "(" term "|" item* "|" item* ")"
vector ::= "(" item* ")"
node ::= "{" item*"}"
binding ::= localBind | globalBind
localBind ::= name "_" rhs
globalBind ::= ( name | universal) ":=" rhs
rhs ::= content | op term | "" item* """ | "[" item* "|" binding* "]"
label ::= tag|link
tag ::= universal "$"
link ::= "LINKS" id|"^" name | name ":"
```


## C.2. Notation for environments

Environments bind identifiers to expressions, in various modes ("=", ":=", "_"): NULL denotes the "empty" environment
[ $E \mid$ id_e] means " $E$ with id bound to $e$ " locVal(id, $E$ ) denotes the value locally bound to id in $E$ loc Val(id, NULL) = NIL = "" locVal(id, $\left.\left[E \mid i d{ }^{\prime} m e\right]\right)=$ if id=id' then $e$ else locVal(id, $E$ )

## C.3. Semantic functions

R: expression, environment --> expression
-- Reduction
$R$ is used for evaluating right-hand sides: identifiers, expressions, etc.
C: expression --> expression
-- Contents
C is basically used to indicate which evaluated expressions become part of the content of a node

B: expression, environment --> environment -- Bindings
$B$ indicates the effect a binding has on an environment. $B$ and $R$ are mutually recursive functions (e.g., the evaluation of an expression may cause some bindings to occur as well)

The following four semantic functions occur less frequently in any substantive way in the semantics below. You might wish to skip them until they occur in a nontrivial manner in the semantics.

T: expression --> expression
-- Tags
T indicates when an identifier is to be included in the tag set for a node
L: expression --> expression
-- Links
L indicates link declarations
$L_{S}$ : expression --> expression
-- Link sources
$L_{s}$ indicates a link to the set of nodes having associated target links
$L_{t}$ expression --> expression -- Link targets
$L_{t}$ indicates that the node is to be included in the target set of all the names which are prefixes of the name to which the expression should evaluate

## C.4. Presentation by feature

[ $E$ is used to represent the value of the environment in which the feature occurs.]
script ::= header node trailer
header ::= "Interscript/Interchange/1.0 "
trailer ::= "EndScript"
The semantics of the root node of a script are equivalent to the following general semantics for a node with the initial environment being the outermost, external environment $x$ instead of E:

```
node ::= "{" item* "}"
    R=C = "{" R<"Sub" item*>([NULL | "OUTER" "=" E]) "}"
    B = locVa/("OUTER", (B<"Sub" item*>([NULL | "OUTER" "=" E])))
    T=L=L
```

Nodes have nested environments, and can have more global effects only through global (:=)
bindings. The items of a node are implicitly prefixed with the identifier Sub, which may be bound to any information intended to be common to all subnodes in a scope.

```
item* ::= ""
    \(R=C=T=L=L_{s}=L_{t}=\mathrm{NIL}\)
    \(B=E\)
```

The empty sequence of items has no value and no effect; this is the basis for the following recursive definition.

```
item* ::= item1 item*
    R=R<item1>(E) R<item*>(B<item1>(E))
    B=B<item*>(B<item1>(E))
    For F in {C,T,L, L L},\mp@subsup{L}{t}{\prime}}
    F=F<item1> F<item*>
```

In general, the value of a sequence of items is just the sequence of item values; binding items affect the environment of items to their right; NIL does not change the length of a result sequence.

```
term ::= primary op term
op ::= "+" | "-" | "*" | "/"
    R=C=R<primary>(E) op R<term>(E)
    B=E
    T=L=L
```

Both the primary and the term must reduce to numbers; the arithmetic operators are evaluated right-to-left (a la APL, without precedence) and bind less tightly than application.

```
primary ::= literal
literal ::= Boolean | integer | hexint | real| string
    \(R=C=\) literal
    \(B=E\)
    \(T=L=L_{s}=L_{t}=\mathrm{NIL}\)
```

The basic contents of a document.

```
invocation ::= id
    \(R=R<v a l O f(i d, E)>(E)\)
    \(B=B<\) valOf \((i d, E)>(E)\)
    where
    valOf(id, \(E)=\) locVal(id, whereBound(id, E)) -- Gets innermost value
    whereBound(id, \(E\) ) = CASE -- Gets innermost binding
        locBinding(id, \(E) \sim=\) NONE \(\quad \Rightarrow E\)
        locBinding("OUTER", E) ~= NONE =>
            whereBound(id, locVal("OUTER", E))
        True \(\quad=>\) NULL
```

Both attributes and definitions are looked up in the current environment; depending on the current binding of id, this may produce values and/or bindings; if the binding's $r$ rhs was quoted, the expression is evaluated at the point of invocation.
When an id is referred to and locBinding(id, $E$ )=NONE, then the value is sought recursively in locVa/("OUTER"). The outermost environment, $X$, binds each id to the "universal" name which is the uppercase equivalent of id.

```
invocation ::= name "." id
    \(R=R<\) valOf(id, \(R<\) name \(>(E))>(E)\)
    \(B=B<\) valOf(id, \(R<\) name \(>(E))>(E)\)
```

Qualified names are treated as "nested" environments.

```
universal \(::=u c I D\)
    \(R=C=u c I D\)
    \(B=E\)
    \(T=L=L_{s}=L_{t}=\mathrm{NIL}\)
```

Uppercase-only identifiers are presumed to be directly meaningful and are not looked up in the environment.

```
application ::= invocation "[" item* "]"
    \(R=\) apply(invocation, \(R<\) item \(\left.^{*}>(E), E\right)\)
    \(B=E\)
    where
        apply(invocation, value*,\(E)=\)
            CASE \(R\) <invocation>(E) OF
            "EQUAL" => value1 = value2
            "GREATER" => value1 > value2
            "SUBSCRIPT" => value1[value2] -- value1: sequence, value2: int
            "CONTENTS" => "(" C<inner(value1)> ")"
            "TAGS" => "(" T<inner(value1)> ")"
            "LINKS" => "(" L<inner(value1)> ")"
            "SOURCES" => "(" \(L_{s}<\) inner(value1)> ")"
            "TARGETS" \(=>\) "(" \(L_{t}<\) inner(value1)> ")"
        ELSE => R<invocation>([[NULL | "OUTER" "=" E] | "Value" "=" value*])
    inner("\{" value* "\}") = value*
```

If the invocation does not evaluate to one of the standard external function names, the current environment is augmented with a binding of the value of the argument list to the identifier Value, and the value is the result of the invocation in that environment; this allows function definition within the language.

```
selection ::= "(" term "|" item1* "|" item2* ")"
    R= if R<term>(E) then R<item1*>(E) else R<item2*>(E)
    B= if R<term>(E) then B<item1*>(E) else B<item2*>(E)
```

The notation for selections (conditionals) is borrowed from Algol 68:
( <test> | <true part> | <false part> )
This is consistent with our principles of using balanced brackets for compound constructions and avoiding syntactically reserved words; the true part and false part may each contain an arbitrary number of items (including none).

```
sequence ::= "(" item* ")"
    R=C = "(" R<item*>(E) ")"
    B=B<\mp@subsup{item**}{*}{*}(E)
    T=L=\mp@subsup{L}{s}{}=\mp@subsup{L}{t}{}=\textrm{NIL}
```

Parentheses group a sequence of items as a single value; bindings in the sequence affect the environment of items to the right in the containing node, but labels are disallowed.
Parentheses may also be used to override the right-to-left evaluation of arithmetic operators; an operand sequence must reduce to a single numeric value.

```
binding ::= name "_" rhs
    \(R=\mathrm{NIL}\)
    \(B=\) localBind(name, \(R<r h s>(E), E)\)
where
        localBind(id, value, \(E)=[E \mid\) id_ value \(]\)
        localBind(id "." name, value, E) = [E| id _ localBind(name, value, valOf(id, E))]
```

This adds a single binding to $E$; bindings have no other "side effects" and no value.

```
binding ::= universal ":=" rhs
binding ::= name ":=" rhs
    R= NIL
    B= globalBind(name, R<rhs>(E),E)
    where
        globalBind(name, value, E) = if
                locVal("OUTER", E)=NIL then localBind(name, value, E)
                else [E | "OUTER" _ globalBind(name, value, locVal("OUTER", E))]
```

Each environment, $E$, initially contains only its "inherited" environment (bound to OUTER). Most bindings take place directly in $E$. To allow for "global" bindings, the value of a globalBind(name, $R<r h s>(E), E$ ) will change $E$ by rebinding id in the outermost environment $x$ (reached in the semantics by following the OUTER path from $E$ until the outermost one is reached; if we started in a nodal environment, this will be x ).

Note that a global binding to some variable $b$ does not guarantee that using $b$ in a rhs context will result in accessing the global $b$ because a local binding to $b$ may intervene.
Note that in a context such as [|a:=7], the effect of the above semantics is the same as [|a_7].

## binding ::= name mode op term <br> $=$ <name mode name op term>

This is just a convenient piece of syntactic sugar for the common case of updating a binding.

```
rhs ::= "" item* """
    R= item*
```

If the rhs of a binding is surrounded by single quotes, it will be evaluated in the environments where the name is invoked, rather than the environment in which the binding is made.

```
rhs ::= "[|" binding* "]"
    R= [B<binding*>([NULL | "OUTER" "=" E]) | "OUTER" "=" NULL]
```

This creates a new environment value that may be used much like a record.

```
rhs ::= "[" invocation "|" binding* "]"
    R =[B<binding*>([R<invocation>(E) | "OUTER" "=" E]) | "OUTER" "=" NULL]
```

This creates a new environment value that is an extension of an existing one.

```
tag ::= universal "$"
    R=R<valOf(universal, E)>(E)
    B=B<valOf(universal, E)>(E)
    T= universal
    C=L=L
```

This gives the containing node the property denoted by the universal and also invokes the
universal in the outermost environment (if it is not bound there, NIL will be produced, which contributes nothing to $R$ ).

```
link ::= "LINKS" id
    \(R=\) "LINKS" id
    \(L=i d\)
    \(B=E\)
    \(C=T=L_{s}=L_{t}=\mathrm{NIL}\)
```

This defines the scope of the set of links whose "main" component is id.
A label $N$ : on a node makes that node a "target" of the link $N$ (and its prefixes); a reference ${ }^{\wedge} N$ makes it a "source." The "main" identifier of a link must be declared (using Links id) at the root of a subtree containing all its sources and targets. The link represents a set of directed arcs, one from each of its sources to each of its targets. Multiple target labels make a node the target of multiple links. A target label that appears only on a single node places it in a singleton set, i.e., identifies it uniquely.

$$
\begin{aligned}
& \text { link }::=\text { "^" name } \\
& R=\text { "^" name } \\
& L_{S}=\text { name } \\
& B=E \\
& C=T=L=L_{t}=\text { NIL }
\end{aligned}
$$

This identifies the containing node as a "source" of the link name.

```
link ::= name ":"
    \(R=\) name ":"
    \(L_{t}=\operatorname{prefixes}(\) name \()\)
    \(B=E\)
    \(C=T=L=L_{S}=\mathrm{NIL}\)
where
    prefixes(id) = id
    prefixes(name "." id) = name "." id prefixes(name)
```

This identifies the containing node as a "target" of each of the links that is a prefix of name.

## C.5. Discussion

Each script is evaluated in the context of an initial environment, $X$, which can contain attributes global to all scripts, attributes that specify values for system-specific identifiers, and in which all global bindings are made.

Each environment, $E$, initially contains only its "inherited" environment (bound to the OUTER). Most bindings take place directly in $E$. To allow for more persistent bindings, the value of a bind(id, ":=", val, E) will change $E$ by rebinding id in $X$. For the root node of a script, OUTER $=X$.

If the right-hand side of a binding is surrounded by single quotes, it will be evaluated in the environments where the name is invoked, rather than the environment in which the binding is made.

When an id is referred to and locBinding(id, $E$ )=NONE, then the value is sought recursively in
locVal("OUTER"). The $X$ environment binds each id to the "universal" name which is its uppercase equivalent (e.g., the universal for iDentiFieR is IDENTIFIER).

Nodes are delimited by brackets. The contents of each node are implicitly prefixed by Sub, which will generally be bound in the containing environment to a quoted expression performing some bindings, and perhaps supplying some labels (tags and links).

Parentheses are used to delimit sequence values. Square brackets are used to delimit the argument list of an operator application and to denote environment constructors, which behave much like records.

Expressions involving the four infix ops (+, -, *, /) are evaluated right-to-left (a la APL); since we expect expressions to be short, we have not imposed precedence rules.

The notation for selections (conditionals) is borrowed from Algol 68:
( <test> | <true part> | <false part> )
This is consistent with our principles of using balanced brackets for compound constructions and avoiding syntactically reserved words; the true part and false part may each contain an arbitrary number of items (including none).

A label N : on a node makes that node a "target" of the link N (and its prefixes); a reference ${ }^{\wedge} N$ makes it a "source." The "main" identifier of a link must be declared (using LinKs id) at the root of a subtree containing all its sources and targets. The link represents a set of directed arcs, one from each of its sources to each of its targets. Multiple target labels make a node the target of multiple links. A target label that appears only on a single node places it in a singleton set, i.e., identifies it uniquely.

## C.6. Grammatical feature X Semantic function matrix

LEGEND:

- Semantic function produces NIL or E or does not apply.
+ Non-trivial semantic equation.
=For R: passes value unchanged; for $C$ : value same as $R$.


## FEATURES:

term ::= primary op term
primary ::= literal
invocation ::= id
invocation ::= name "." id
universal ::= name "\$"
application ::= invocation "[" item* "]"
selection ::= "(" term "|" item1* "|" item2* ")"
node ::= "\{" item* "\}"
sequence ::= "(" ( value | binding )* ")"
item* ::= item1 item*
binding $::=$ name mode rhs
rhs ::= "'" item* "'"
rhs ::= "[|" binding* "]"

FUNCTIONS:

| $R$ | $C$ | $B$ | $T$ | $L$ | $L_{S}$ | $L_{t}$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| + | $=$ | - | - | - | - | - |
| $=$ | $=$ | - | - | - | - | - |
| + | - | + | - | - | - | - |
| + | - | + | - | - | - | - |
| $=$ | $=$ | - | - | - | - | - |
| + | - | - | - | - | - | - |
| + | - | + | - | - | - | - |
| + | $=$ | + | - | - | - | - |
| $+=$ | + | - | - | - | - |  |
| + | + | + | + | + | + | + |
| - | - | + | - | - | - | - |
| + | - | - | - | - | - | - |
| + | - | - | - | - | - | - |


| rhs $::=$ "[" invocation "\|" binding* "]" |  | + | - | - | - | - | - |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| tag $::=$ invocation "\%" |  | - |  |  |  |  |  |
| link $::=$ "LINKS" id |  | - | - | + | - | - | - |
| link $::=$ "^" name |  | - | - | - | + | - | - |
| link $::=$ name ":" | $=$ | - | - | - | - | + | - |
|  |  | $=$ | - | - | - | - | + |

- Semantic function produces NIL or E or does not apply.
+ Non-trivial semantic equation.
$=$ For R: passes value unchanged; for C : value same as R .


## History Log

Edited by Mitchell, September 1, 1981 3:12 PM, added first version of glossary
Edited by Mitchell, September 7, 1981 2:11 PM, wrote parts of introduction
Edited by Mitchell, September 10, 1981 10:14 AM, added Tab def to Star property sheets
Edited by Mitchell, September 14, 1981 9:54 AM, renumbered chapters and did minor edits
Edited by Horning, May 4, 1982 5:16 PM, Fold in Truth Copy changes, add Appendix B
Edited by Mitchell, May 10, 1982 5:40 PM, changed "Interdoc" to "Interscript", "rendering" to "internalizing", and "transcribing" to "externalizing" plus various edits necessitated by these substitutions.
Edited by Mitchell, August 19, 1982 4:55 PM, preparing the final version: eliminated const bindings, changed syntax for links, renamed Outermost to be X .

